

1132 U.S. PTO  
02/05/02

02-08-02

PATENT  
51270-277037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
**Keishi MATSUMOTO**

Serial No.: Not yet assigned

Filed: February 5, 2002

For: ***OPTICAL DISK APPARATUS WITH  
REGULATION OF RECORDING VELOCITY AND  
LASER POWER***

Group No.: Not yet assigned

Examiner: Not yet assigned

31000 U.S. PTO  
10/067926  
02/05/02

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

Express Mail Label No. EL 724 027 948US

Date of Deposit: February 5, 2002

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

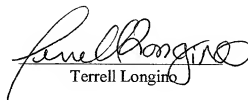
I hereby certify that the following documents:

- ☒ Letter of transmittal
- ☒ Patent application (102 pages of specification; 24 claims; 1 page of abstract)
- ☒ Checks in the amount of \$780 to cover the filing fee and assignment recordation fee, and \$828 to cover the fees for excess independent and total claims
- ☒ An executed Declaration/Power of Attorney
- ☒ An Assignment of the Invention with cover sheet
- ☒ Certified copies of (2) Japanese patent applications from which priority is claimed
- ☒ 34 sheets of formal drawings
- ☒ Return postcard

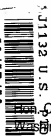
are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dated: February 5, 2002

  
Terrell Longino

02/05/02



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

From: Commissioner of Patents  
Washington, D.C. 20231

WITH SIGNED DECLARATION

PATENT APPLICATION

NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE

J11000 U.S. PTO  
10/06/926  
02/05/02

Sir:

Herewith is the PATENT APPLICATION of  
Inventor(s): Keishi MATSUMOTO

Title OPTICAL DISK APPARATUS WITH REGULATION OF  
RECORDING VELOCITY AND LASER POWER

Atty. Dkt.: PW 277038 | H7624US  
M# Client Ref

Date: February 5, 2002

Including:

1. Specification: 102 pages (only spec. and claims)
2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 24 numbered claims
4. ☒ Drawings: 34 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M#          SN         )
6. **AMEND the specification** please by inserting before the first line: -- This is a ☐ Continuation-in-Part ☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:  
 (a) ☐ National Appln. No.          /          filed          (M#         )  
 (b) ☐ International Appln. No.          filed           
 which designated the U.S., and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English.--
7. ☐ **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S. Provisional Application No. 60/         , filed          --
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
9. ☐ Prior application is assigned to

by Assignment recorded          Reel          Frame         

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11.          (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2001-028774	February 5, 2001	(2) 2001-58866	March 2, 2001
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

12. 2 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date)           
in U.S. Application No.          /          filed on
13. Small entity status → ☒ is **not** claimed; ☐ is claimed (**Pre-filing confirmation required**)
- 13(a) ☐ Attached:          (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)
- 13(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$740/\$370	\$740	101/201
19. Total Effective Claims	24	minus 20 =	*4	x \$18/\$9 =	+ 72	103/203
20. Independent Claims	12	minus 3 =	*9	x \$84/\$42 =	+ 756	102/202
				*If answer is zero or less, enter "0"		
21. If any proper multiple dependent claim (ignore improper) is present, add Leave this line blank if this is a reissue application)				+ \$280/\$140	+ 0	104/204
22. TOTAL FILING FEE ENCLOSED =					\$1568	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE ENCLOSED =					\$1608	

Our Deposit Account No. 18-1605

Our Order No. 51270

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP  
Intellectual Property Group**

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RRW/gjb

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments